

## SC-3/15: Needs assessment

### *The Conference of the Parties*

1. *Welcomes* the preliminary assessment of funding needs for Parties that are developing countries or countries with economies in transition to implement the Convention over the period 2006-2010;
2. *Adopts* the revised terms of reference for work on the assessment of funding needs for Parties that are developing countries or countries with economies in transition to implement the Convention over the period 2010–2014 set forth in the annex to the present decision;
3. *Invites* Parties and others to provide the relevant information required to undertake the assessment of funding needs mentioned in the preceding paragraph.

## Annex to decision SC-3/15

### **Revised terms of reference for work on the assessment of funding needs for Parties that are developing countries or countries with economies in transition to implement the Convention over the period 2010–2014**

#### **Objectives**

1. The objectives of the work to be carried out under the present terms of reference are:
  - (a) To enable the Conference of the Parties to provide at regular intervals, to the principal entity entrusted with the operations of the financial mechanism referred to in Article 13 of the Convention, and to other entities should they be so entrusted, assessments of the total funding needed by Parties eligible for assistance from the financial mechanism to facilitate their effective implementation of the Convention;
  - (b) To provide the principal entity with a framework and modalities for the determination in a predictable and identifiable manner of the funding necessary and available for the implementation of the Convention by Parties eligible for assistance from the financial mechanism.

#### **Methodology**

2. Pursuant to the objectives in paragraph 1 above, the work will be facilitated and coordinated by the Secretariat with a view to enabling a team of up to three independent experts for up to three months to undertake a full assessment of the funding necessary and available for the implementation of the Convention for the period 2010–2014, based on, among other things, the methodology, experience and available data gained from the preliminary assessment of funding needs for the period 2006–2010,<sup>1</sup> for consideration by the Conference of the Parties at its fourth meeting.
3. The assessment will include an estimation of costs and funding needs that are identified for activities in national implementation plans, which:
  - (a) Are direct obligations under the Convention;
  - (b) Are necessary for the implementation of the Convention;
  - (c) Involve other, indirect costs related to the implementation of the Convention but not covered by (a) or (b).
4. The methodology for assessing the funding necessary and available for the implementation of the Convention will be transparent, reliable and replicable.

#### **Execution**

5. The assessment of funding needs will include:
  - (a) The compilation and analysis of the needs identified in the national implementation plans submitted by Parties pursuant to Article 7 of the Convention;
  - (b) The review of reports submitted by Parties pursuant to Article 15 of the Convention to identify information on funding needs in fulfilment of their obligations under the Convention;

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<sup>1</sup> See the terms of reference set forth in the annex to decision SC-2/12.

(c) The compilation and analysis of such funding needs identified in any supplementary information provided by the principal entity of the financial mechanism, other international financial institutions, intergovernmental and nongovernmental organizations, including the private sector, and secretariats of other multilateral environmental agreements;

(d) The compilation and analysis of any supplementary information provided by Parties which are developing countries or countries with economies in transition on their funding needs for the implementation of their obligations under the Convention.

#### **Sources of information**

6. In developing the assessment of funding needs, the work will draw primarily upon information provided in the national implementation plans submitted pursuant to Article 7 of the Convention.

7. Relevant supplementary information will be drawn from the Secretariat, Parties, entities of the financial mechanism, other international financial institutions, intergovernmental and non-governmental organizations including the private sector and secretariats of other multilateral environmental agreements, where available, such as:

(a) The Global Environment Facility, which, as the principal entity entrusted with the operation of the financial mechanism on an interim basis, is invited to provide information gathered through its operations relevant to assistance needs in eligible Parties;

(b) Other international financial institutions that provide bilateral or multilateral financial or technical assistance pursuant to paragraph 6 of Article 13 of the Convention, which are invited to provide information on such assistance, including the levels of such assistance;

(c) Intergovernmental organizations, nongovernmental organizations and other stakeholders, which are invited to provide information relating to the needs assessment;

(d) Secretariats of other multilateral environmental agreements, which are invited to provide information relevant to modalities for conducting similar needs assessments in connection with their agreements;

(e) Parties, which are invited to provide any other information on their experiences in implementing the Convention.

#### **Scope**

8. The assessment of the funding necessary and available for the implementation of the Convention should be comprehensive and primarily directed towards assessing total funding needs with a view to identifying, in full needs assessments, baseline and incremental funding needs in order for all Parties to fulfil their obligations under the Convention.

#### **Process**

9. The information identified above should be provided to the Secretariat by 31 October 2008. Any future updating of this information will be decided by the Conference of the Parties.

10. Based on the information it receives from the Secretariat the team of experts will prepare a report on the assessment of the funding necessary and available for the implementation of the Convention for the period 2010–2014 by Parties which are developing countries or countries with economies in transition and transmit it to the Secretariat.

11. The Secretariat will present the report to the Conference of the Parties at its fourth meeting for its consideration and subsequent action, including informing the replenishment process of the Global Environment Facility.